

PROFESSIONAL CLIENT/ELIGIBLE COUNTERPARTY – INFORMATION AND DECLARATION

(Please sign and return this form to: support@zfx.co.uk)

(July 2026)

Professional Clients (per se or elective) as well as Eligible Counterparties (PC/EC) are entitled to fewer protections under the UK regulatory regime in comparison to Retail Clients. This document contains (for information purposes only) a summary of the protections that you will lose if you agree to being categorised and subsequently treated as an Elective Professional Client or Eligible Counterparty.

Leverage

Higher leverages will be available to Professional Clients in comparison to those available to Retail Clients. However, by gaining access to higher leverages you risk magnifying your losses.

Communication

As a Professional Client or Eligible Counterparty (PC/EC), the simplicity and frequency with which Zeal Capital Market (UK) Limited (the Company), communicates with you may be different in which we are expected to communicate with a Retail Client. We will ensure that our communications will be fair, clear, and not misleading.

Information about the Company, our services and remuneration

The type of information that the Company would be expected to provide to a Retail Client about itself, its services, products, and remuneration differs from what it is expected to provide a PC/EC. In particular, the information on these areas may be less specific in terms of levels of detail, medium, and timing of such provision.

Appropriateness

The Company is entitled to assume that you have the necessary experience, knowledge, and expertise to understand the risks involved in any transaction regarding the products and services the company provides for you.

Dealing

A range of factors may be considered for PC/ECs in order to achieve best execution which may include speed, costs, and fees. In contrast, when undertaking transactions for Retail Clients, the total consideration will be the overriding factor in any execution.

Reporting information to clients

The timeframe involved for the Company's confirmation to its client that an order has been carried out may not be as rigorous as it might be for a Retail Client.

Client reporting

There is no requirement for the Company to provide reports to you when the initial value of each instrument depreciates by 10% and in multiples of 10% thereafter.

Negative balance

Mandatory negative balance protection available to Retail Clients is not available to PC/ECs. It will be your responsibility to keep the account balance positive.

Financial Ombudsman Service

The services of the Financial Ombudsman Service (FOS) may not be available to you as a PC/EC.

Investor compensation

Eligibility for compensation afforded by the Financial Services Compensation Scheme (FSCS) is restricted to Elective Professional Clients who are trading in a personal capacity. Such compensation is not extended to the following: Elective Professional Clients generally, per se Professional Clients, or Eligible Counterparties.

Client money

As a Professional Client you will be subject to the Title Transfer Financial Collateral Agreement (TTCA) in accordance with CASS 7.11 of the FCA's Client Money rules and in agreement with Section 9 of the Company's Terms of Business. You agree that any monies which are transferred to us by you or on your behalf will be treated as a transfer of full ownership of such money for the purpose of securing any obligations you have with or through us.

You understand and agree that the consequences of the transfer of ownership of any such money means that it shall not be treated as "Client Money" and therefore will not be held in a segregated bank account and that we shall deal with such money in our own right in our normal course of business. Furthermore, in the case of insolvency, you will be considered as a general creditor of Company in relation to any such money.

As a PC/EC, you will not receive the benefit of certain protections that are available to retail clients under FCA regulations. You do have the right to request re-categorisation as a retail client although we cannot guarantee we would agree to it.

DECLARATION

I confirm that I have read, understood and agree to the above conditions in requesting to become a Professional Client or an Eligible Counterparty.

I confirm that I agree to the Title Transfer Collateral Agreement.

Signed:

Print Name:

Date: